#### BARNEY FRANK, MA, RANKING MEMBER

# United States House of Representatives Committee on Jinancial Services

2129 Rauburn House Office Building Washington, DC 20515

COMMITTEE. HOUSE ADMINISTRATION

2012 SEP 13 AM 10: 19

September 12, 2012

The Honorable Daniel E. Lungren Chairman Committee on House Administration 1309 Longworth House Office Building Washington, D.C. 20515

#### Dear Chairman Lungren:

Transmitted herewith are statements showing the expenditures of the Committee on Financial Services for the month of August, 2012.

During August, Committee activities included the following:

#### Committee Activity:

#### **Hearings:**

August 2, 2012

Hearing entitled "Sound Money: Parallel Currencies and the Roadmap to Monetary

Freedom"

Domestic Monetary Policy and Technology

August 20, 2012

Field hearing entitled "An Examination of the Challenges Facing Community Financial

Institutions in West Virginia"

Financial Institutions and Consumer Credit

in Charleston, West Virginia

#### **Markups:**

August 1, 2012

Markup to consider resolutions appointing Majority members to subcommittees

Full Committee

August 1, 2012

Markup of H.R. 2827, To amend the Securities Exchange Act of 1934 to clarify provisions

relating to the regulation of municipal advisors, and for other purposes; and H.R. 6161,

the "Fostering Innovation Act"

Capital Markets and Government Sponsored Enterprises

## **Hearings in Print:**

112-63

"RESPA Home Warranty Clarification Act of 2012;" 7/31/2011.

112-80

"The Consumer Financial Protection Bureau: The First 100 Days;" 11/2/2011.

112-81	"Fraud in the HUD Home Program;" 11/2/2011.
112-82	"H.R, The Private Mortgage Market Investment Act, Part 1;" 11/3/2011.
112-84	"Insurance Oversight and Legislative Proposals;" 11/16/2011.
112-85	"H.R. 1697, The Communities First Act;" 11/16/2011.
112-87	"Perspectives on the Health of the FHA Single-Family Insurance Fund;" 12/1/2011.
112-89	"Regulatory Reform: Examining How New Regulations are Impacting Financial Institutions, Small Businesses, and Consumers in Illinois;" 12/5/2011.
112-92	"H.R. 3606, the Reopening American Capital Markets to Emerging Growth Companies Act of 2011;" 12/15/2011.
112-93	"The Homeless Children and Youth Act of 2011: Proposals to Promote Economic Independence for Homeless Children and Youth;" 12/15/2011.
112-94	"The Collapse of MF Global, Part 1;" 12/15/2011.
112-96	"Implementation of the Manufactured Housing Improvement Act of 2000;" 2/1/2012.
112-102	"Oversight of the Department of Housing and Urban Development;" 2/28/2012.
112-106	"An Examination of the Challenges Facing Community Financial Institutions in Texas;" 3/14/2012.
112-107	"An Examination of Potential Private Sector Solutions to Mitigate Foreclosures in Nevada;" 3/15/2012.
112-109	"H.R, The Swap Data Repository and Clearinghouse Indemnification Correction Act of 2012;" 3/21/2012.
112-110	"The Future of Money: How Mobile Payments Could Change Financial Services;" 3/22/2012.

# **Reports in Print:**

112-633 "RESPA Home Warranty Clarification Act of 2012;" 7/31/2012.

Copies of this report have been furnished to the Ranking Minority Member of the Committee, and the report is available to all Members of the Committee at their request.

SPENCER BACHUS

Chairman

SB:asg

Enclosures

H.Res.

2012

Statement of expenses from 8/1/2012 to 8/31/2012

Report Done:

12-Sep-12

Перот Воне. 12-3ер-12		Cumulative	
Category	August 2012	Funding 2012	
category	2012		
Staff Salaries	\$597,796.07	\$4,890,163.54	
Overtime	\$0.00	\$0.00	
Detailee	\$0.00	\$0.00	
Consultants	\$0.00	\$0.00	
Equipment	\$1,235.41	\$9,883.28	
Travel	\$1,983.44	\$19,688.27	
Rent, Communications, and Utilities	\$6,460.64	\$45,627.87	
Printing and Reproduction	\$171.47	\$2,688.69	
Other Services	\$573.01	\$2,296.28	
Specialized Training	\$0.00	\$0.00	
Representational Funds	\$0.00	\$0.00	
Supplies and Materials	\$2,903.46	\$26,959.18	
Grand Total	\$611,123.50	\$4,997,307.11	

H.Res. 147

2011

Statement of expenses from 8/1/2012 to 8/31/2012

Report Done:

12-Sep-12

		Cumulative	-
	August	Funding	
Category	2012	2011	
Staff Salaries	\$0.00	\$7,532,578.26	
Overtime	\$0.00	\$0.00	
Detailee	\$0.00	\$0.00	
Consultants	\$0.00	\$0.00	
Equipment	\$0.00	\$182,326.58	
Travel	\$0.00	\$28,262.39	
Rent, Communications, and Utilities	\$0.00	\$81,298.46	
Printing and Reproduction	\$0.00	\$3,599.47	
Other Services	\$0.00	\$28,349.79	
Specialized Training	\$0.00	\$0.00	
Representational Funds	\$0.00	\$0.00	
Supplies and Materials	\$0.00	\$123,884.89	
Grand Total	\$0.00	\$7,980,299.84	

Fund Balance as of 8/31/2012

Year:

2012

Report Date:

12-Sep-12

**Total Authorization:** 

\$8,384,705.00

Year - Month	Amount
2012 January	\$591,547.50
2012 February	\$624,971.66
2012 March	\$624,602.49
2012 April	\$635,598.09
2012 May	\$647,787.97
2012 June	\$636,715.14
2012 July	\$624,960.76
2012 August	\$611,123.50

Total Committee Expenses to Date

\$4,997,307.11

**Unexpended Authorization** 

\$3,387,397.89

Fund Balance as of 8/31/2012

Year:

2011

Report Date:

12-Sep-12

**Total Authorization:** 

\$8,441,264.00

Year - Month	Amount
2011 January	\$530,823.71
2011 February	\$631,044.30
2011 March	\$644,684.31
2011 April	\$627,855.19
2011 May	\$637,191.79
2011 June	\$635,493.88
2011 July	\$648,412.43
2011 August	\$643,082.19
2011 September	\$650,545.74
2011 October	\$641,983.38
2011 November	\$702,969.93
2011 December	\$704,626.50
2012 January	\$201,342.65
2012 February	\$29,200.86
2012 March	\$36,953.52
2012 April	\$41.19
2012 May	\$8,177.34
2012 June	\$2,814.00
2012 July	\$3,056.93
2012 August	\$0.00
Total Committee Expenses to Date	\$7,980,299.84
Unexpended Authorization	\$460,964.16

# U.S. House of Representatives Financial Services Committee - Republican

# Fund Balance Statement by Paid Date August

Total Authorization F - 112th - 2nd		Franked Mail	\$1,000.00
Less Expen	ses for:		
January	2012		\$17.89
February			\$11.28
March			\$33.77
April			\$12.62
May			\$47.11
June			\$40.89
July			\$27.81
August			\$12.51

**Total Expenses to Date:** 

\$203.88

Unexpended authorization

\$796.12

08/01/2012 to 08/31/2012

Process Level:

BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department:

BA000 COMMITTEE ON FINANCIAL SERVICE

SPECIAL & SELECT COMMITTEE - 3(D) PERSONNEL

Employee and Job Title CLINGER, JAMES H STAFF DIRECTOR & CHIEF COUNSEL	<b>Annual Salary</b> 172,500.00	<b>Gross Pay</b> 14,375.00	<b>Period</b> 08/01/2012 to 08/31/2012	Remarks
JONES III, CLINTON COLUMBU GENERAL COUNSEL	170,000.00	14,166.67	08/01/2012 to 08/31/2012	
MCGAHN, SHANNON F DEP STF DIR FOR STGY & PUB AFF	160,000.00	13,333.33	08/01/2012 to 08/31/2012	
TRYON, WARREN DEPUTY STAFF DIRECTOR	152,500.00	12,708.33	08/01/2012 to 08/31/2012	
SPECIAL & SELECT COMMITTEE - PER	SONNEL			
Employee and Job Title ALLISON, TERISA L EDITOR/DOCUMENT CLERK	Annual Salary 105,000.00	<b>Gross Pay</b> 8,750.00	<b>Period</b> 08/01/2012 to 08/31/2012	Remarks
ARAUZ, STEVE F. ASST SYSTEMS ADMINISTRATOR	55,000.00	4,583.33	08/01/2012 to 08/31/2012	
AUSTIN, NICOLE C PROFESSIONAL STAFF	120,000.00	10,000.00	08/01/2012 to 08/31/2012	
BISHOP, NORMAN R DEPUTY COMM DIRECTOR	33,000.00	2,750.00	08/01/2012 to 08/31/2012	
BORDEN, MICHAEL SENIOR COUNSEL	160,000.00	13,333.33	08/01/2012 to 08/31/2012	
BURGESS, EDWARD C STAFF ASSISTANT	33,000.00	2,750.00	08/01/2012 to 08/31/2012	
CLARK, JOSEPH R COUNSEL	70,000.00	5,833.33	08/01/2012 to 08/31/2012	
COLE, JOHN W COUNSEL	75,000.00	6,250.00	08/01/2012 to 08/31/2012	
DUKE, ANDREW PROFESSIONAL STAFF	120,000.00	10,000.00	08/01/2012 to 08/31/2012	
EDGAR, KEVIN R SENIOR COUNSEL	160,000.00	13,333.33	08/01/2012 to 08/31/2012	
EMERSON, JEFFREY WADE DEPUTY STAFF DIR/COMMUNICATION	160,000.00	13,333.33	08/01/2012 to 08/31/2012	
FOSS, PAUL-MARTIN PROFESSIONAL STAFF	85,000.00	7,083.33	08/01/2012 to 08/31/2012	
FRUMBERG, EMILY J MEMBER SERVICE COORDINATOR	35,000.00	2,916.67	08/01/2012 to 08/31/2012	

08/01/2012 to 08/31/2012

Process Level:

BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department:

BA000 COMMITTEE ON FINANCIAL SERVICE

SPECIAL & SELECT COMMITTEE - PERSONNEL

Employee and Job Title GAMBO, ANGELA S ADMINISTRATIVE ASSISTANT	Annual Salary 118,000.00	<b>Gross Pay</b> 9,833.33	<b>Period</b> 08/01/2012 to 08/31/2012	Remarks
GARIBAY, MARISOL COMMUNICATIONS DIRECTOR	85,000.00	7,083.33	08/01/2012 to 08/31/2012	
JOHNSON, BRIAN COUNSEL	70,000.00	5,833.33	08/01/2012 to 08/31/2012	
JOHNSON, TALLMAN SENIOR PROFESSIONAL STAFF	160,000.00	13,333.33	08/01/2012 to 08/31/2012	
KEECH, ROSEMARY ELIZAB CHIEF CLERK	85,000.00	7,083.33	08/01/2012 to 08/31/2012	P/R CHANGE 08/01/12
LILES, WILLIAM W SENIOR COUNSEL	155,000.00	12,916.67	08/01/2012 to 08/31/2012	
MADISON, JONATHAN E PROFESSIONAL STAFF	40,000.00	3,333.33	08/01/2012 to 08/31/2012	
MAHLER, SAMUEL C PROFESSIONAL STAFF	40,000.00	3,333.33	08/01/2012 to 08/31/2012	
MCCAGHREN, CHRISTOPHER J STAFF ASSISTANT	26,000.00	2,166.67	08/01/2012 to 08/31/2012	
MCCARDLE, KYLIN B PROFESSIONAL STAFF	85,000.00	7,083.33	08/01/2012 to 08/31/2012	
MCCOLLUM-GOOCH, LESLI E PROFESSIONAL STAFF	140,000.00	11,666.67	08/01/2012 to 08/31/2012	
MCGARRY, NATALIE N PARLIAMENTARIAN/SEN COUNSEL	140,000.00	11,666.67	08/01/2012 to 08/31/2012	
MEDINA, FRANCISCO A DEPUTY CHIEF COUNSEL	150,000.00	12,500.00	08/01/2012 to 08/31/2012	
MITCHELL, SUSAN C COUNSEL	70,000.00	5,833.33	08/01/2012 to 08/31/2012	
MORK, KIRSTEN J. PROFESSIONAL STAFF	20,000.00	1,666.67	08/01/2012 to 08/31/2012	
PINDER, JOE SENIOR PROFESSIONAL STAFF	150,000.00	12,500.00	08/01/2012 to 08/31/2012	
RANCK, AARON A SENIOR PROFESSIONAL STAFF	110,000.00	9,166.67	08/01/2012 to 08/31/2012	
ROBERTI, CLIFFORD PROFESSIONAL STAFF	118,000.00	9,833.33	08/01/2012 to 08/31/2012	

08/01/2012 to 08/31/2012

Process Level:

BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department:

BA000 COMMITTEE ON FINANCIAL SERVICE

SPECIAL & SELECT COMMITTEE - PERSONNEL

<b>Employee and Job Title</b> RODRIGUERA JR, SERGIO G PROFESSIONAL STAFF	<b>Annual Salary</b> 90,000.00	<b>Gross Pay</b> 7,500.00	<b>Period</b> 08/01/2012 to 08/31/2012	Remarks
ROGET, GISELE G SENIOR ANALYST	67,500.00	5,625.00	08/01/2012 to 08/31/2012	
RUSBULDT, RYAN A STAFF ASSISTANT	30,000.00	2,500.00	08/01/2012 to 08/31/2012	
RUSSELL, CHRISTOPHER Y PROFESSIONAL STAFF	140,000.00	11,666.67	08/01/2012 to 08/31/2012	
SKALA, EDWARD G SENIOR PROFESSIONAL STAFF	105,000.00	8,750.00	08/01/2012 to 08/31/2012	
SMITH, CALEB J DIRECTOR OF NEW MEDIA	60,000.00	4,500.00	08/01/2012 to 08/31/2012	TERMINATED 08/27/12
SPORCK, AARON T PROFESSIONAL STAFF	138,000.00	11,500.00	08/01/2012 to 08/31/2012	
STALEY, MICHAEL POLICY ADVISOR	25,000.00	2,083.33	08/01/2012 to 08/31/2012	
TEEL, ALEXANDER H PROFESSIONAL STAFF	43,000.00	3,583.33	08/01/2012 to 08/31/2012	
TRIMBLE, KIM SYSTEM ADMINISTRATOR	105,000.00	8,750.00	08/01/2012 to 08/31/2012	
TURNER, ANNE MARIE SENIOR COUNSEL	125,000.00	10,416.67	08/01/2012 to 08/31/2012	
WALDEN, ANTHONY D STAFF ASSISTANT	30,000.00	2,500.00	08/01/2012 to 08/31/2012	
WRIGHT, ANNA B OPERATIONS MANAGER	50,000.00	4,166.67	08/01/2012 to 08/31/2012	

08/01/2012 to 08/31/2012

Process Level:

BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department:

BA000 COMMITTEE ON FINANCIAL SERVICE

Department Employee Group

Expenditure Active Paid

BA000

SPECIAL & SELECT COMMITTEE - 3(D)

PERSONNEL

\$54,583.33

BA000

SPECIAL & SELECT COMMITTEE - PERSONNEL \$331,291.64

43 44

47 48

BA000 Total \$385,874.97

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A

CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

08/01/2012 to 08/31/2012

Process Level:

BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department:

BA090 COMMITTEE ON FINANCIAL SRV-MIN

SPECIAL & SELECT COMMITTEE - 3(D) PERSONNEL

Employee and Job Title BERESIK, MICHAEL T DEPUTY STAFF DIRECTOR	<b>Annual Salary</b> 170,696.00	<b>Gross Pay</b> 14,224.67	<b>Period</b> 08/01/2012 to 08/31/2012	Remarks
LASTER, GAIL W DEPUTY CHIEF COUNSEL	170,696.00	14,224.67	08/01/2012 to 08/31/2012	
ROSLANOWICK, JEANNE M STAFF DIRECTOR & CHIEF COUNSEL	172,500.00	14,375.00	08/01/2012 to 08/31/2012	
STEWART, LAWRANNE DEPUTY CHIEF COUNSEL	170,696.00	14,224.67	08/01/2012 to 08/31/2012	
SPECIAL & SELECT COMMITTEE - PER	SONNEL			
<b>Employee and Job Title</b> CONNELLY, MEREDITH C SENIOR PROFESSIONAL STAFF MEM	Annual Salary 100,000.00	<b>Gross Pay</b> 8,333.33	<b>Period</b> 08/01/2012 to 08/31/2012	Remarks
ERICKSON, KRISTOFOR S SR PROFESSIONAL STAFF MEMBER	130,000.00	10,833.33	08/01/2012 to 08/31/2012	
FORMAN JR, ALFRED J SYSTEMS ADMINISTRATOR	110,000.00	9,166.67	08/01/2012 to 08/31/2012	
FREITAS, BRUNO PROFESSIONAL STAFF MEMBER	65,374.00	5,447.83	08/01/2012 to 08/31/2012	
GIESTA, MARIA E PROFESSIONAL STAFF MEMBER	114,062.00	9,505.17	08/01/2012 to 08/31/2012	
GURAL, HARRY D. COMMUNICATIONS DIRECTOR	72,000.00	6,000.00	08/01/2012 to 08/31/2012	
JEFFERS, ERIKA SENIOR COUNSEL	140,000.00	11,666.67	08/01/2012 to 08/31/2012	
LARKIN, KELLIE SENIOR COUNSEL	158,414.00	13,201.17	08/01/2012 to 08/31/2012	
LORD, PATRICIA ANNE SENIOR PROFESSIONAL STAFF MEM	135,000.00	11,250.00	08/01/2012 to 08/31/2012	
MANOSALVAS, MARCOS F STAFF ASSOCIATE	50,000.00	4,166.67	08/01/2012 to 08/31/2012	
MCCOY, DOMINIQUE M SENIOR COUNSEL	127,615.00	10,634.58	08/01/2012 to 08/31/2012	
MCGLINCHEY, DANIEL P SR PROFESSIONAL STAFF MEMBER	146,000.00	12,166.67	08/01/2012 to 08/31/2012	
ORNER, ERIC S DEPUTY COMMUNICATIONS DIRECTO	85,000.00 DR	7,083.33	08/01/2012 to 08/31/2012	

08/01/2012 to 08/31/2012

Process Level:

BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department:

BA090 COMMITTEE ON FINANCIAL SRV-MIN

SPECIAL & SELECT COMMITTEE - PERSONNEL

Employee and Job Title SCHWARZBACH, KIRK PROFESSIONAL STAFF MEMBER	Annual Salary 95,000.00	<b>Gross Pay</b> 7,916.67	<b>Period</b> 08/01/2012 to 08/31/2012	Remarks
SMITH, DAVID A. CHIEF ECONOMIST	165,000.00	13,750.00	08/01/2012 to 08/31/2012	
THREATT, ADRIANNE G SENIOR COUNSEL	165,000.00	13,750.00	08/01/2012 to 08/31/2012	

08/01/2012 to 08/31/2012

Process Level:

BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department:

BA090 COMMITTEE ON FINANCIAL SRV-MIN

Department Employee Group

Expenditure Active Paid

BA090

SPECIAL & SELECT COMMITTEE - 3(D)

**PERSONNEL** 

\$57,049.01 4 4

16 16

BA090

SPECIAL & SELECT COMMITTEE - PERSONNEL \$154,872.09

BA090

Total

\$211,921.10

20 20

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

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08/01/2012 to 08/31/2012

Process Level:	BA000 COMMITTEE ON FINANCIAL SERVICE	Accounting Organiz	ation:	10BA000
Process Level:	BA000 COMMITTEE ON FINANCIAL SERVICE	Accounting Organiz	ation:	10BA000
Employee Group	CT COMMITTEE - 3(D) PERSONNEL	Expenditure \$111,632.34	Active 8	Paid 8
SPECIAL & SELEC	\$486,163.73	59	60	
Total		\$597,796.07	67	68

COMMENTS:	(EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)	 	

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED

Report ID: PR002 Run Date: 09/12/2012

HEREON.

# REPORT OF TRAVEL PERFORMED

# Committee on Financial Services 112th Congress, 2nd Session August, 2012 for 2012

	Total	\$625.80	
Claimed	Other	\$360.55 \$265.25	
Reimbursement Claimed	Meals, Lodging, Transportation & Incidentals Other	\$360.55	
	Transportation		
	Itinerary	DC/FL/AL/DC	
	Purposes	08/01/12 08/03/12 To participate in the Community Bankers Association of Alabama conference.	ī.
Travel	To	08/03/12	
Dates of Travel	From	08/01/12	
	Traveler	Cole, John	