# United States House of Representatives Committee on Financial Services

2129 Rayburn House Office Building COMMITTEE Washington, DC 20515 HOUSE ADMINISTRAT!

June 17, 2014

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The Honorable Candice S. Miller Chairman Committee on House Administration 1309 Longworth House Office Building Washington, D.C. 20515

Dear Chairman Miller:

Transmitted herewith are statements showing the expenditures of the Committee on Financial Services for the month of May, 2014.

During May, Committee activities included the following:

#### Committee Activity:

#### **Hearings:**

May 1, 2014	Hearing entitled "Legislative Proposals to Enhance Capital Formation for Small and Emerging Growth Companies, Part II" Capital Markets and Government Sponsored Enterprises
May 8, 2014	Hearing entitled "The Annual Testimony of the Secretary of the Treasury on the State of the International Financial System" Full Committee
May 20, 2014	Hearing entitled "Legislative Proposals to Reform Domestic Insurance Policy" Housing and Insurance
May 20, 2014	Hearing entitled "Examining the Dangers of the FSOC's Designation Process and its Impact on the U.S. Financial System" Full Committee
May 21, 2014	Hearing entitled "Legislative Proposals to Improve Transparency and Accountability at the CFPB" Financial Institutions and Consumer Credit
May 21, 2014	Hearing entitled "Allegations of Discrimination and Retaliation within the Consumer Financial Protection Bureau, Part Two" Oversight and Investigations

#### Markup:

May 7, 2014	Markup of H.R. 4200, SBIC Advisers Relief Act; H.R. 4554, Restricted Securities Relief Act; H.R. 4568, Small Business Freedom to Grow Act; H.R. 4571, to direct the SEC to revise its rules so as to increase the threshold amount for requiring issuers; H.R. 4569, Disclosure Modernization and Simplification Act; H.R. 4570, Private Placement Improvement Act; H.R. 4565, Startup Capital Modernization Act; H.R. 1779, Preserving Access to Manufactured Housing Act; H.R. 2673, Portfolio Lending and Mortgage Access Act; H.R. 3211, Mortgage Choice Act; H.R. 4466, Financial Regulatory Clarity Act; H.R. 4521, Community Institution Mortgage Relief Act; and a resolution to elect Minority Members to Subcommittees. Full Committee			
May 8, 2014	Meeting to consider a resolution authorizing the issuance of subpoenas Oversight and Investigations			
May 22, 2014	Continuation of the Markup of H.R. 4200, H.R. 4554, H.R. 4568, H.R. 4571, H.R. 4569, H.R. 4570, H.R. 4565, H.R. 1779, H.R. 4521, H.R. 4466, and H.R. 2673 Full Committee			

### Hearings in Print :

113-34	"Examining How the Dodd-Frank Act Could Result in More Taxpayer-Funded Bailouts;" 6/26/2013.
113-37	"Examining Constitutional Deficiencies and Legal Uncertainties in the Dodd-Frank Act;" 7/9/2013.
113-39	"Monetary Policy and the State of the Economy;" 7/17/2013.
113-44	"Examining the SEC's Money Market Fund Rule Proposal;" 9/18/2013.
113-46	"Legislation to Further Reduce Impediments to Capital Formation;" 10/23/2013.
113-49	"The Future of Terrorism Insurance: Fostering Private Market Innovation to Limit Taxpayer Exposure;" 9/13/2013.
113-50	"What is Central About Central Banking? : A Study of International Models;" 11/13/2013.
113-51	"A General Overview of Disparate Impact Theory;" 11/19/2013.

Copies of this report have been furnished to the Ranking Minority Member of the Committee, and the report is available to all Members of the Committee at their request.

Sincerely JE 3 HEN RLING Chairman

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Enclosures

H.Res. 115

2014

Statement of expenses from 5/1/2014 to 5/31/2014

Report Done: 17-Jun-14

Category	May 2014	Cumulative Funding 2014	
Staff Salaries	\$523,851.61	\$2,539,549.38	
Overtime	\$0.00	\$0.00	
Detailee	\$0.00	\$0.00	
Consultants	\$0.00	\$0.00	
Equipment	\$1,835.29	\$6,776.93	
Travel	\$213.00	\$446.31	
Rent, Communications, and Utilities	\$6,018.41	\$23,890.75	
Printing and Reproduction	\$234.30	\$599.73	
Other Services	\$935.00	\$5,740.00	
Specialized Training	\$0.00	\$0.00	
Representational Funds	\$0.00	\$0.00	
Supplies and Materials	\$1,534.77	\$14,224.13	
Grand Total	\$534,622.38	\$2,591,227.23	

Fund Balance as of 5/31/2014

Year: 2014		Report Date:	17-Jun-14	
		Total A	uthorization:	\$7,468,426.00
Year - Mon	th		Amount	
2014 Janua	ary		\$480,354.11	
2014 Febru	Jary		\$510,959.12	
2014 Marc	h		\$536,335.69	
2014 April			\$528,955.93	
2014 May			\$534,622.38	

Total Committee Expenses to Date	\$2,591,227.23
Unexpended Authorization	\$4,877,198.77

2013

H.Res. 115

Statement of expenses from 5/1/2014 to 5/10/2014

Report Done: 17-Jun-14

Category	May 2014	Cumulative Funding 2013	
Staff Salaries	\$0.00	\$6,521,684.06	
Overtime	\$0.00	\$0.00	
Detailee	\$0.00	\$0.00	
Consultants	\$0.00	\$0.00	
Equipment	\$71,238.11	\$97,481.13	
Travel	\$0.00	\$8,538.95	
Rent, Communications, and Utilities	\$0.00	\$77,842.05	
Printing and Reproduction	\$0.00	\$1,930.50	
Other Services	\$6,880.30	\$35,907.80	
Specialized Training	\$0.00	\$0.00	
Representational Funds	\$0.00	\$0.00	
Supplies and Materials	\$1,264.50	\$142,208.10	
Grand Total	\$79,382.91	\$6,885,592.59	

2013

Fund Balance as of 5/31/2014

Report Date:

#### 17-Jun-14

Total Authorization: \$7,394,482.00

Year - Month	Amount
2013 January	\$476,434.33
2013 February	\$577,958.09
2013 March	\$558 <i>,</i> 848.47
2013 April	\$552,995.30
2013 May	\$533,306.57
2013 June	\$530,281.05
2013 July	\$528,848.18
2013 August	\$528,672.23
2013 September	\$591,345.02
2013 October	\$559,023.85
2013 November	\$616,453.85
2013 December	\$657,218.71
2014 January	\$66,398.54
2014 February	\$188.97
2014 March	\$9,639.62
2014 April	\$18,596.90
2014 May	<u>\$79,382.91</u>
Total Committee Expenses to Date	\$6,885,592.59
Unexpended Authorization	\$508,889.41

05/01/2014 to 05/31/2014

	05/01/2014 to 05/31/2014					
Process Level:	BA000 COMMITTE	E ON FINANCIAL S	ERVICE	Accounting Organization: 10BA000		
Department:	BA000 COMMITTE	E ON FINANCIAL S	ERVICE			
SPECIAL & SELECT	COMMITTEE - 3(D)	PERSONNEL				
Employee and Jo CLINGER, JAMES H CHIEF COUNSEL		Annual Salary 172,500.00	<b>Gross Pay</b> 14,375.00	<b>Period Rem</b> 05/01/2014 to 05/31/2014	arks	
JONES III, CLINTO SENIOR COUNSEL	N COLUMBU	170,000.00	14,166.67	05/01/2014 to 05/31/2014		
SPECIAL & SELECT	COMMITTEE - PER	SONNEL				
Employee and Jo AHERN, MICHAEL E SENIOR PROFESSIO	1	Annual Salary 100,000.00	<b>Gross Pay</b> 8,333.33	<b>Period Rem</b> 05/01/2014 to 05/31/2014	arks	
ALLISON, TERISA L EDITOR	5	110,250.00	9,187.50	05/01/2014 to 05/31/2014		
ARAUZ, STEVE F. ASST SYSTEMS AD	MINISTRATOR	60,000.00	5,000.00	05/01/2014 to 05/31/2014		
BLUM, JONATHAN PROFESSIONAL ST		55,000.00	4,583.33	05/01/2014 to 05/31/2014		
BURGESS, EDWARI STAFF ASSISTANT	ЭС	40,000.00	3,333.33	05/01/2014 to 05/31/2014		
CLARK, JOSEPH R COUNSEL		85,000.00	7,083.33	05/01/2014 to 05/31/2014		
DAVIDHIZAR, AND RESEARCH ANALYS		14,000.00	1,166.67	05/01/2014 to 05/31/2014 P/R 0 05/01/2014 to 05/31/2014	CHANGE L/14	
DEARIE, STEFANIE PROFESSIONAL ST		50,000.00	3,194.44	05/01/2014 to 05/31/2014 APPC 05/08	DINTMENT 3/14	
DHILLON, UTTAM / CHIEF OVERSIGHT		168,411.00	14,034.25	05/01/2014 to 05/31/2014		
EDGAR, KEVIN R SENIOR COUNSEL		168,411.00	14,034.25	05/01/2014 to 05/31/2014		
EMERSON, JEFFRE DEPUTY STAFF DIF		160,000.00	13,333.33	05/01/2014 to 05/31/2014		
FLITTON, JENNIFE PROFESSIONAL ST		50,000.00	4,166.67	05/01/2014 to 05/31/2014		
GAMBO, ANGELA S ADMINISTRATIVE		123,900.00	10,325.00	05/01/2014 to 05/31/2014		
HALLER, PETER G SENIOR COUNSEL		120,000.00	10,000.00	05/01/2014 to 05/31/2014		
JOHNSON, BRIAN COUNSEL		85,000.00	7,083.33	05/01/2014 to 05/31/2014		

05/01/2014 to 05/31/2014

Process Level: BA000 COMMITTEE ON FINANCIAL SERVICE

ICE Accounting Organization: 10BA000

Department: BA000 COMMITTEE ON FINANCIAL SERVICE

SPECIAL & SELECT COMMITTEE - PERSONNEL

Employee and Job Title JOHNSON, TALLMAN SENIOR PROFESSIONAL STAFF	Annual Salary 165,000.00	Gross Pay 13,750.00	<b>Period</b> 05/01/2014 to 05/31/2014	Remarks
KEECH, ROSEMARY ELIZAB CHIEF CLERK	100,000.00	8,333.33	05/01/2014 to 05/31/2014	
KIM, MARIA S STAFF ASSISTANT	30,000.00	2,500.00	05/01/2014 to 05/31/2014	
MCGAHN, SHANNON F STAFF DIRECTOR	165,000.00	13,750.00	05/01/2014 to 05/31/2014	
MEDINA, FRANCISCO A DEPUTY CHIEF COUNSEL	155,000.00	12,916.67	05/01/2014 to 05/31/2014	
MORK, KIRSTEN J. DEPUTY STAFF DIRECTOR	130,000.00	10,833.33	05/01/2014 to 05/31/2014	
MOSKOWITZ, LUCAS R COUNSEL	130,000.00	4,333.33	05/01/2014 to 05/31/2014	APPOINTMENT 05/19/14
NORTON, TRAVIS J GENERAL COUNSEL AND PARLIAMENT	160,000.00	13,333.33	05/01/2014 to 05/31/2014	
OKAMOTO, GEOFFREY W PROFESSIONAL STAFF MBR	50,000.00	277.78	05/01/2014 to 05/31/2014	TERMINATED 05/02/14
PINDER, JOE SENIOR PROFESSIONAL STAFF	150,000.00	12,500.00	05/01/2014 to 05/31/2014	
POPP, DAVID MICHAEL COMMUNICATIONS DIRECTOR	85,000.00	7,083.33	05/01/2014 to 05/31/2014	
RANCK, AARON A SENIOR PROFESSIONAL STAFF	110,000.00	9,166.67	05/01/2014 to 05/31/2014	
RICE, ADAM R COMMUNICATIONS ASSISTANT	35,000.00	2,916.67	05/01/2014 to 05/31/2014	
ROBERTI, CLIFFORD PROFESSIONAL STAFF	80,000.00	6,666.67	05/01/2014 to 05/31/2014	
ROGET, GISELE G SENIOR ANALYST	75,000.00	6,250.00	05/01/2014 to 05/31/2014	
ROSS, RANDALL L PROFESSIONAL STAFF	50,000.00	4,166.67	05/01/2014 to 05/31/2014	
RUSBULDT, RYAN A STAFF ASSISTANT	40,000.00	3,333.33	05/01/2014 to 05/31/2014	
RUSSELL, CHRISTOPHER Y PROFESSIONAL STAFF	80,000.00	6,666.67	05/01/2014 to 05/31/2014	

05/01/2014 to 05/31/2014

Process Level: BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department: BA000 COMMITTEE ON FINANCIAL SERVICE

SPECIAL & SELECT COMMITTEE - PERSONNEL

<b>Employee and Job Title</b> SCHMIDT, SCOTT A DIGITAL DIRECTOR	Annual Salary 55,000.00	<b>Gross Pay</b> 4,583.33	<b>Period</b> 05/01/2014 to 05/31/2014	Remarks
SKALA, EDWARD G POLICY DIRECTOR	140,000.00	11,666.67	05/01/2014 to 05/31/2014	
SPORCK, AARON T PROFESSIONAL STAFF	80,000.00	6,666.67	05/01/2014 to 05/31/2014	
SWINCHATT, JENNIFER SCHEDULER	13,000.00	1,083.33	05/01/2014 to 05/31/2014	APPOINTMENT 05/01/14
TRIMBLE, KIM SYSTEM ADMINISTRATOR	115,000.00	9,583.33	05/01/2014 to 05/31/2014	
VERRET, JOHN W CHIEF ECONOMIST	160,000.00	13,333.33	05/01/2014 to 05/31/2014	
WILLIAMS, JERI BRETT PROFESSIONAL STAFF	80,000.00	6,666.67	05/01/2014 to 05/31/2014	
WRIGHT, ANNA B OPERATIONS MANAGER	65,000.00	5,416.67	05/01/2014 to 05/31/2014	
ZORC, BETHANY A SENIOR COUNSEL	140,000.00	11,666.67	05/01/2014 to 05/31/2014	

05/01/2014 to 05/31/2014

	BA000 COMMITTEE	
Process Level:		

Accounting Organization: 10BA000

Department: BA000 COMMITTEE ON FINANCIAL SERVICE

Departmen	t Employee Group	Expenditure Ad	ctive F	Paid	
BA000	SPECIAL & SELECT COMMITTEE - 3(D)				
	PERSONNEL	\$28,541.67	2	2	
BA000	SPECIAL & SELECT COMMITTEE - PERSONNEL	\$324,303.21	41	42	
BA000	Total	\$352,844.88	43	44	

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

05/01/2014 to 05/31/2014

			05/01/2014 to	05/31/2014	
Process Level:	BA000 COMMITTE	E ON FINANCIAL S	ERVICE	Accounting Organization: 10BA	000
Department:	BA090 COMMITTE	E ON FINANCIAL S	RV-MIN		
SPECIAL & SELEC	T COMMITTEE - 3(D)	) PERSONNEL			
<b>Employee and J</b> FISCHER, AMAND/ SENIOR POLICY A	٩	Annual Salary 130,000.00	<b>Gross Pay</b> 10,833.33	<b>Period</b> 05/01/2014 to 05/31/2014	Remarks
OUERTATANI, CH/ STAFF DIRECTOR	ARLA	160,000.00	13,333.33	05/01/2014 to 05/31/2014	P/R CHANGE 05/01/14
SPECIAL & SELEC	T COMMITTEE - PER	SONNEL			
Employee and Jo BRADLEY, KATELY SENIOR COUNSEL	'NN O	Annual Salary 120,000.00	<b>Gross Pay</b> 0.00	<b>Period</b> 05/01/2014 to 05/31/2014	<b>Remarks</b> APPOINTMENT 05/27/14
DUMAIS, THERES		95,000.00	7,916.67	05/01/2014 to 05/31/2014	
ERICKSON, KRIST SR PROFESSIONA		130,000.00	10,833.33	05/01/2014 to 05/31/2014	
FORMAN JR, ALFR SYSTEMS ADMINI		110,000.00	9,166.67	05/01/2014 to 05/31/2014	
FRAYER, COREY S SENIOR PROFESS		95,000.00	7,916.67	05/01/2014 to 05/31/2014	
FREEMAN, KIRK D MANAGING DIR O	) PF HOUSING POLICY	151,000.00	12,583.33	05/01/2014 to 05/31/2014	
JEFFERS, ERIKA SENIOR POLICY D	DIRECTOR	140,000.00	11,666.67	05/01/2014 to 05/31/2014	
JOHNSON, ANITA CHIEF ADMINISTI		110,000.00	9,166.67	05/01/2014 to 05/31/2014	
KAHNG, ESTHER . COUNSEL	JEE-UEN	50,000.00	3,472.22	05/01/2014 to 05/31/2014	APPOINTMENT 05/06/14
LARKIN, KELLIE GENERAL COUNSI	EL/LEG DIRECTOR	158,414.00	13,201.17	05/01/2014 to 05/31/2014	
LEDERBERGER, LI COUNSEL	ISA	85,000.00	7,083.33	05/01/2014 to 05/31/2014	
MANOSALVAS, MA PRESS ASSISTAN		50,000.00	4,166.67	05/01/2014 to 05/31/2014	
MCGLINCHEY, DA		146,000.00	12,166.67	05/01/2014 to 05/31/2014	
SAMUEL, TWAUN SHARED EMPLOY		60,000.00	5,000.00	05/01/2014 to 05/31/2014	P/R CHANGE 05/01/14
SCHWARZBACH, I SR PROFESSIONA	KIRK AL STAFF MEMBER	95,000.00	7,916.67	05/01/2014 to 05/31/2014	

05/01/2014 to 05/31/2014

Process Level: BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department: BA090 COMMITTEE ON FINANCIAL SRV-MIN

SPECIAL & SELECT COMMITTEE - PERSONNEL

Employee and Job Title SPINA, CHRISTOPHER M COMMUNICATIONS DIRECTOR	Annual Salary 130,000.00	<b>Gross Pay</b> 10,833.33	<b>Period</b> 05/01/2014 to 05/31/2014	Remarks
WILLIAMS, FRANCIS O PROFESSIONAL STAFF	45,000.00	3,750.00	05/01/2014 to 05/31/2014	
ZAVARELLO, WILLIAM M SENIOR ADVISOR	120,000.00	10,000.00	05/01/2014 to 05/31/2014	

05/01/2014 to 05/31/2014

Process Level: BA000 COMMITTEE ON FINANCIAL SERVICE

Accounting Organization: 10BA000

Department: BA090 COMMITTEE ON FINANCIAL SRV-MIN

Departmer	t Employee Group	Expenditure A	ctive F	Paid	
BA090	SPECIAL & SELECT COMMITTEE - 3(D)				
	PERSONNEL	\$24,166.66	2	2	
BA090	SPECIAL & SELECT COMMITTEE - PERSONNEL	\$146,840.07	18	17	
BA090	Total	\$171,006.73	20	19	

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

Report ID: PR002 Run Date: 06/17/2014

05/01/2014 to 05/31/2014

Process Level:	BA000 COMMITTEE ON FINANCIAL SERVICE	Accounting Orga	nization:	10BA000
Process Level:	BA000 COMMITTEE ON FINANCIAL SERVICE	Accounting Orga	nization:	10BA000
Employee Group	CT COMMITTEE - 3(D) PERSONNEL	Expenditure \$52,708,33	Active 4	Paid 4
	CT COMMITTEE - PERSONNEL	\$471,143.28	59	59
Total		\$523,851.61	63	63

COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON.

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# U.S. House of Representatives Financial Services Committee - Republican

# Fund Balance Statement by Paid Date

June

Total Autl F - 113th - 2 Less Expens	End	Franked Mail	\$5,000.00
February	2014		\$16.73
March			\$19.97
April			\$29.61
May			\$6.75

**Total Expenses to Date:** 

**Unexpended** authorization

\$4,926.94

**REPORT OF TRAVEL PERFORMED** 

Committee on Financial Services 113th Congress, 2nd Session May, 2014 for 2014

	Dates of Travel	Travel				Reimbursement Claimed	laimed	
			e			Meals, Lodging,		
Traveler	From	To	To Purposes	Itinerary	Transportation	Transportation & Incidentals	Other	Total
McGahn, Shannon 03/31/14 03/31/14	03/31/14	03/31/14	To Staff the Chairman for official meetings DC/NY/DC	DC/NY/DC	\$156.00			\$156.00
				2				
					R	10		